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# Annals of Pharmacotherapy

## Potentially Inappropriate Prescribing in Older Patients Discharged from Acute Care Hospitals to Residential Aged Care Facilities

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Abstract:	<p>Background: The frequency of prescribing potentially inappropriate medications (PIMs) in older patients remains high despite evidence of adverse outcomes from their use. Little is known about whether admission to hospital has any effect on appropriateness of prescribing.</p> <p>Objectives: This study aimed to identify the prevalence and nature of PIMs and explore the association of risk factors for receiving a PIM.</p> <p>Methods: This was a prospective study of 206 patients discharged to residential aged care facilities (RACFs) from acute care. All patients were aged at least 70 years and were admitted between July 2005 and May 2010; their admission and discharge medications were evaluated.</p> <p>Results: Mean patient age was <math>84.8 \pm 6.7</math> years; the majority (57%) were older than 85 years and mean (SD) Frailty Index was 0.42 (0.15). At least one PIM was identified in 112 (54.4%) patients on admission and 102 (49.5%) patients on discharge. Of all medications prescribed at admission (1728), 10.8% were PIMs and at discharge of 1759 medications, 9.6% were PIMs. Of total 187 PIMs on admission, 56 (30%) were stopped and 131 were continued; 32 new PIMs were introduced. Of the potential risk factors considered, in-hospital cognitive decline and frailty status were the only significant predictors of PIMs.</p> <p>Conclusion: Although, admission to hospital is an opportunity to review the indications for specific medications, a high prevalence of inappropriate drug use was observed. The only associations with PIM use were the frailty status and in-hospital cognitive decline. Additional studies are needed to further evaluate this association.</p> <p>Keywords: Beers criteria, frailty, inappropriate prescribing, older patients, residential aged care facilities</p>

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For Peer Review

## Potentially Inappropriate Prescribing in Older Patients Discharged from Acute Care Hospitals to Residential Aged Care Facilities

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ABSTRACT

**Background:** The frequency of prescribing potentially inappropriate medications (PIMs) in older patients remains high despite evidence of adverse outcomes from their use. Little is known about whether admission to hospital has any effect on appropriateness of prescribing.

**Objectives:** This study aimed to identify the prevalence and nature of PIMs and explore the association of risk factors for receiving a PIM.

**Methods:** This was a prospective study of 206 patients discharged to residential aged care facilities (RACFs) from acute care. All patients were aged at least 70 years and were admitted between July 2005 and May 2010; their admission and discharge medications were evaluated.

**Results:** Mean patient age was  $84.8 \pm 6.7$  years; the majority (57%) were older than 85 years and mean (SD) Frailty Index was 0.42 (0.15). At least one PIM was identified in 112 (54.4%) patients on admission and 102 (49.5%) patients on discharge. Of all medications prescribed at admission (1728), 10.8% were PIMs and at discharge of 1759 medications, 9.6% were PIMs. Of total 187 PIMs on admission, 56 (30%) were stopped and 131 were continued; 32 new PIMs were introduced. Of the potential risk factors considered, in-hospital cognitive decline and frailty status were the only significant predictors of PIMs.

**Conclusion:** Although, admission to hospital is an opportunity to review the indications for specific medications, a high prevalence of inappropriate drug use was observed. The only associations with PIM use were the frailty status and in-hospital cognitive decline. Additional studies are needed to further evaluate this association.

**Keywords:** Beers criteria, frailty, inappropriate prescribing, older patients, residential aged care facilities

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**Introduction**

Our aging population, while a consequence of societal success, does present a challenge to the health care system. Older people are prescribed multiple medications and are more prone to adverse drug events (ADEs) that lead to increased mortality and morbidity and higher health care cost.<sup>1-3</sup> The appropriate use of available pharmacotherapy requires consideration of both the benefits and risks of the medications. Drugs are classified as potentially inappropriate when the risks of treatment outweigh the benefits<sup>4</sup>; they are prescribed for longer periods than clinically indicated or without any clear indication; they are not prescribed when indicated<sup>5</sup>; and when they are likely to interact with other drugs and diseases.<sup>6</sup>

Inappropriate prescribing in older patients can be detected using either explicit (criterion-based) or implicit (judgment-based) screening tools.<sup>7-9</sup> Explicit criteria are derived from expert reports or published reviews. They have high reliability and reproducibility but focus mainly on specific drugs and disease states. By contrast, implicit criteria are person-specific and explore patient preferences, rather than the disease and medications; they rely on evaluator judgment and tend to have low reliability and poor clinical utility.<sup>10</sup> Although these criteria address some aspects of prescribing in older patients, they seldom consider the frailty of such patients. The omission of health status from established prescribing tools may help to explain the lack of clinical benefit from algorithm-based medication reviews.<sup>3</sup> The Beers criteria are commonly used and they do measure some surrogates of frailty. They were originally developed in 1991<sup>11</sup> for use in the older nursing home population and have been subsequently updated in 1997, 2002 and 2012 so as to be applicable to all persons over 65 years of age, regardless of their place of residence.<sup>12-14</sup> The recently updated Beers criteria divide medications into three main categories according to major therapeutic classes and

organ systems: 34 medications are considered potentially inappropriate, independent of diagnosis, 14 are to be avoided in older adults with certain diseases and syndromes that can be exacerbated by the listed drug, while another 14 are to be used with caution in older adults.<sup>14</sup> Although many medications on the Beers list are not available in Australia, use of these criteria for evaluation of prescribing has the advantage of enabling international comparison.

Admission to hospital is an opportune time to review and rationalize prescribing, weighing up the benefits of pharmacotherapy against significant risks of polypharmacy and inappropriate prescribing in older adults, particularly those who are frail. However, little is known about whether admission to hospital has any effect on appropriateness of prescribing. Potentially inappropriate prescribing (PIP) is particularly common in long-term residents of aged care facilities; indeed institutionalization itself is an established independent risk factor for PIP.<sup>15</sup> Studies that have compared prevalence of potentially inappropriate medications (PIMs) at admission to hospital and discharge have reported inconsistent results. A prospective drug surveillance in an acute medical geriatric unit in France reported a decreased prevalence of PIMs from 66% at admission to 43.6% at discharge.<sup>16</sup> A retrospective, non-randomised study in the Specialist Health and Ageing Unit in England, UK found a decreased prevalence from 26.7% at admission to 22.6% at discharge.<sup>17</sup> By contrast a similar study in Norway showed the increased prevalence of PIMs from 24% at admission to 35% at discharge.<sup>18</sup>

Similar reports from Australian health care settings are limited and we cannot assume identical prevalence rates and PIM types in Australia due to the variations in health care systems and prescribing practices across countries. Therefore the main objective of this study was to determine the prevalence of PIP using the 2012 version of the American Geriatrics



Society (AGS) Beers Criteria in patients discharged from acute care to residential aged care facilities (RACFs). We also aimed to identify whether polypharmacy, age, gender, in-hospital falls, delirium, functional and cognitive decline and the frailty status of patients were independent risk factors for receiving an inappropriate medication.

**Methods**

**Study population:** This is a prospective study of patients, aged 70 and older, who were discharged to RACFs (206 out of total 1418 patients) following admission to 11 acute care hospitals in Queensland and Victoria, Australia. The sites ranged from small secondary care centres (with 120 – 160 beds, n = 2), through rural hospitals (250 – 280 beds, n = 2) to metropolitan teaching facilities (300 – 450 beds, n = 4) and major tertiary referral centres (>650 beds; n = 3). All patients were admitted to the acute care hospitals between July 2005 and May 2010. Patient recruitment has been described in detail elsewhere.<sup>19, 20</sup> Patients were excluded if they were admitted to coronary or intensive care units, for terminal care only or were discharged from hospital within 24 hours. Only those patients entering RACFs at discharge were included in the study.

**Data collection and measurement tools:** The interRAI Acute Care assessment tool was used for data collection.<sup>21</sup> It has been specifically developed for use in the acute setting, to support Comprehensive Geriatric Assessment (CGA) for older inpatients.<sup>22, 23</sup> This instrument screens a large number of domains around socio-demographic information, physical, cognitive and psycho-social functioning, medications, medical diagnoses, advance directives, and discharge destination.<sup>23</sup> Trained nurse assessors gathered data at admission (within 24 hours in the ward) and at discharge. A number of scales are embedded within the interRAI instruments combine single items belonging to domains such as activities of daily living (ADL),

instrumental activities of daily living (IADL) and cognition, which are used to describe the presence and extent of deficits in these domains.<sup>22</sup> For each patient, all prescribed medication, including Anatomical Therapeutic Classification (ATC) codes, was recorded on admission and at discharge. Data were entered by pharmacists or pharmacy students and verified by a second pharmacist or geriatrician.

**Deriving a Frailty Index:** A Frailty index (FI), an index of accumulated deficits, was calculated for each individual at admission using a well-defined methodology.<sup>24</sup> Data collected using the interRAI assessment tool was coded as deficits. For example, in the domain of cognition, an acute change in mental status is recorded as a dichotomous, yes/ no response and this was coded as deficit present (1 point) or absent (0 points). Other data were recorded on an ordinal scale with cut-offs for 0/ 0.5/1 deficit coded according to the distribution of the data. For example, the domain of vision classified into four categories (0: adequate, 1: minimal difficulty, 2: moderate difficulty, 3: severe difficulty, 4: no vision) is coded with cut-offs of 0/0.5/1 (i.e. 0 = 0, 1 = 0.5, 2-4 = 1). Deficits crossed the domains of function, cognition, mood and behaviour, disease diagnoses and sensory impairments. Medication use was excluded from the FI. Each individual's deficit points were then summed and divided by the total number of deficits considered (here, 52). For example, someone with 6 deficits out of 40 counted has a FI of 0.15. The FI has a potential score of 0-1, where 0= absence of all deficits, and 1= all deficits present.<sup>25</sup> Although the FI can be considered as a continuous variable with higher values representing greater frailty, 0.25 has been proposed as the cut-off between 'fit' and 'frail' individuals.<sup>26</sup>

**Polypharmacy:** Polypharmacy was categorised into three groups based on the number of drugs in use. Hyper polypharmacy was defined as concurrent use of ten or more drugs;

polypharmacy was defined as use of five to nine drugs and non-polypharmacy represented patients using four or less drugs concomitantly. These cut-off points have been selected based on previous studies relating the risk of adverse outcomes in older people to numbers of prescribed medication.<sup>27, 28</sup>

**Covariates**

*Fall in hospital:* In-hospital fall was defined as having at least one fall during the period of hospitalization. These data were collected prospectively by daily chartreviews and ward visits by the research nurses using allavailable sources of information (interviewing the patientand medical staff, reviewing the medical records, andchecking the forms or systems for recording adverseevents).<sup>29</sup> The process of data collection was based on thedetailed instructions provided in the tool manual.<sup>21</sup>

*Delirium in hospital:* As part of the interRAI AC, varying mental function and acute changes in mental status from baseline was assessed by nurse assessor at admission and discharge. The two items were combined to screen for delirium.<sup>30</sup> Delirium in hospital was recorded if delirium screened positive at the admission or discharge assessments or if noted in the hospital records on daily ward visits by the nurse assessor.

*Failure to improve in ADL:* Failure in improvement of ADL was assessed using change in the ADL short form scale that consists of four items (personal hygiene, walking, toilet use, and eating). Scores on the ADL scale range from 0 to 16, with higher scores indicating greater impairment.<sup>20</sup> Failure to improve in ADL was defined as those with some ADL impairment on admission who had the same or worse (higher) ADL score on discharge compared to admission or who developed a new ADL impairment in hospital.

*In-hospital cognitive function decline:* The Cognitive Performance Scale (CPS) was used to measure cognitive impairment.<sup>20</sup> Score ranges from '0' to '6' with higher scores indicating greater impairment. In-hospital cognitive decline was defined as having a worse CPS score on discharge compared to admission.

*Statistical analysis:* Data were analysed using the Statistical Package for the Social Sciences 21.0 (IBM SPSS Statistics 21.Ink). A paired sample t-test was used to observe the relationship between admission and discharge medications. Standard multiple regression was used to detect risk factors for PIMs at both admission and discharge. Age, gender, number of admission and discharge medications, in-hospital falls, delirium, functional and cognitive decline and frailty index of patients were used as predictive variables for PIMs. A p-value of 0.05 was considered statistically significant.

*Ethics:* Ethics approval was obtained from the human research and ethics committee of each participating hospitals and The University of Queensland Medical Research Ethics Committee. All patients or their substitute decision-maker gave informed consent for participation.

## Results

*Patient characteristics:* Of the 206 patients discharged to RACFs, 142 (69%) were female. The principal characteristics of the study population are described in Table 1. They had a mean (SD) age of 84.8 (6.8) years; the majority (57%) were older than 85 years and mean (SD) Frailty Index was 0.42 (0.15). A total of 35% were admitted from the community and 65% from RACFs. The median length of stay in hospital was eight days. Of those discharged

to RACFs, approximately 60% were discharged to high care (a high level care setting for older people with 24-hour nursing care) and remaining 40% discharged to low care (residents require accommodation and personal care type services, but not 24-hour nursing care).

**General prescribing pattern:** The number of medications prescribed on admission and discharge is shown in Table 2. Patients were prescribed a mean of 7.2 ( $\pm 3.81$ ) regular medications at admission and 8.1 ( $\pm 3.95$ ) on discharge to RACF. Comparing medication regimes at admission and discharge, the prevalence of polypharmacy was stable [106 (51.5%) vs 102 (49.5%) respectively] but with an increase in hyper-polypharmacy [from 50 patients (24.3%) to 67 (32.5%)].

At admission, two patients were prescribed 23 medications with 10 patients receiving at least 20 medications. On discharge one (different to admission) patient was prescribed 23 medications and four patients had at least 20 medications. At discharge, aspirin and anti-platelet agents were the most frequently prescribed medications (109, 54%), followed by anti-ulcer drugs in 105 (52%) patients. Other prevalent medication included antidepressants (28.2%), benzodiazepines (19.3%), antipsychotics (16.3%) and opioids (16.3%). Of the potential risk factors, frailty status and in-hospital cognitive decline were the only significant predictors of PIMs at both admission ( $p = 0.047$ ) and discharge ( $p = 0.032$ ). However, no association was observed between PIM use, polypharmacy categories, age, gender, in-hospital falls, delirium and functional decline.

**Potentially inappropriate medications at admission:** On admission, 112 (54%) patients were on at least one PIM; 5 patients were on 4 PIMs. Of the 1460 regular medications prescribed at admission 187 (12.8%) were PIMs. Of these, 149 (80%) were classified as PIMs for older

people independent of diagnosis and 38 (20%) PIMs contraindicated in older people with certain diseases or syndromes (Table 3). PIMs to be used with caution accounted for 3.8% of total medications prescribed. Commonly prescribed PIM categories were central nervous, cardiovascular and gastrointestinal system drugs, and analgesics. Multiple regression analysis revealed that frailty status was significantly associated to PIMs at admission [(p<0.05 OR= -1.01 (-2.01, -0.01)]. Age, gender and number of admission medications were not associated with being prescribed PIMs.

***Potentially inappropriate medications at discharge:*** At discharge, 102 (49.5%) patients were on at least one PIM; one patient was discharged on 7 PIMs, 5 patients on 4 PIMs and 8 patients on 3. Of all the 1652 regular medications prescribed at discharge, 168 (10.1%) were PIMs. Of these 168, 129 (77%) were classified as PIMs for older people independent of diagnosis and 39 (23%) of PIMs contraindicated in older people with certain diseases or syndromes (Table 3). PIMs to be used with caution accounted for 3.7% of total medications prescribed. Commonly prescribed PIMs categories were Central Nervous system (CNS) drugs, cardiovascular, gastrointestinal, respiratory medications, analgesics and antimuscarinics. Multiple regression analysis showed that only frailty status was a predictor of the number of PIMs at discharge [(p<0.05, OR= -2.15, -0.10)].

***Changes in potentially inappropriate medication between admission and discharge:*** Table 4 shows the number of patients with total PIMs at admission and discharge. Of the 187 PIMs prescribed at admission, 56 (30%) were stopped and 131 (70%) were continued while 32 new PIMs were started. PIMs introduced included CNS drugs [benzodiazepines (14/32), antipsychotics (8/32), and antidepressants (1/32)], respiratory medications (3/32), antiarrhythmics (2/32), gastrointestinal (2/32) and analgesics (2/32).

**Discussion**

The present study demonstrated frequent use of inappropriate medications in older people discharged from acute care hospitals to RACFs. 54.4% of patients were on at least one PIM at admission to hospital with a non-significant trend to fewer PIMs on discharge (49.5%). The frailty status of patients and in-hospital cognitive decline were the only significant predictors for receiving PIMs at both admission and discharge. To our knowledge, this is the first study to identify this association.

The prevalence of PIMs observed in this study population differ from those of previous studies using the recent updated 2012 Beers criteria. A higher prevalence (82.6%) was observed in a Brazilian long term care home study<sup>31</sup> and around 66% was observed in an Argentinian geriatric hospital.<sup>32</sup> Yet a very low prevalence (16% and 25.5%) was noticed in tertiary health care setting in India and Nigeria respectively.<sup>33, 34</sup> Commonly prescribed PIM categories at both admission and discharge were CNS, cardiovascular, gastrointestinal and respiratory drugs, and analgesics which are similar to those reported in other studies.<sup>35-38</sup> Of note, 30% of PIMs were stopped and other new PIMs were introduced at discharge. We found a clear association between the use of PIMs, frailty status and cognitive decline of patients at admission and discharge. However, no association was observed between PIM use, age and gender, which is consistent with previous reports.<sup>39, 40</sup> Also, no association of PIM use with in-hospital falls, delirium and functional decline was observed. Furthermore, in contrast to other studies,<sup>41-44</sup> we found no association between polypharmacy and PIM use.

There are a number of limitations to this study. Although patients were recruited from multiple hospital sites, the sample size is relatively small. The recently updated Beers criteria contain medications which are either not available in Australia (e.g. carisoprodol and

trimethobenzamide) or which have been withdrawn from use here (chlorpropamide, reserpine and phenylbutazone). Thus, the relevance of the tool within Australia could be questioned.<sup>5</sup> Moreover, these criteria also fail to address other issues such as drug duplication, under-prescribing, and drug-drug interaction.<sup>14, 45-47</sup> Hence, the prevalence of PIMs may be higher than those reported in this study. However, this study demonstrated the prevalence of PIMs in frail older patients on admission and discharge and adds to existing research by identifying patient's frailty status as a unique risk factor associated with the use of PIMs.

These discrepancies in Beers and other established criteria should be addressed either by developing new criteria or by refining the existing tools to make them more applicable to frail older people. The first and foremost step is to identify the frail patient in clinical practice by applying clinically validated tools (e.g. frailty index). Once the frail patient has been identified, there is a need for specific measures or criteria to assess appropriateness of therapy that consider such factors as quality of life, functional status and remaining life expectancy and thus modified goals of care.<sup>48</sup>

## Conclusion

A high prevalence of potentially inappropriate drug prescribing was observed in older patients on admission to acute care hospitals and on discharge to RACFs. Frailty status and in-hospital cognitive decline of patients were risk factors for the use of PIMs. The findings of this study provide a basis for designing interventions to rationalize prescribing in older patients. Further studies in different settings with larger population are warranted to evaluate the prevalence of potentially inappropriate medications and deviations in prescribing practices.



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**Table 1:** Characteristics of study population

Characteristics	Value
<b>Age distribution</b>	
Mean age [SD]	84.8 [6.8]
65-74 years	20 [10]
75-84 years	69 [33]
>85 years	117 [57]
<b>Sex (n [%])</b>	
Female	142 [69]
Male	64 [31]
<b>Admitted from (n [%])</b>	
Community	73 [35.4]
RACF low care	64 [31.1]
RACF high care	69 [33.5]
<b>Discharged to(n [%])</b>	
RACF low care	81 [39.3]
RACF high care	125 [60.7]
<b>Length of stay (days)</b>	
Median length of stay (days [IQR])	8 [4-16]
<b>Frailty Index</b>	
Mean (SD)	0.42 (0.15)

IQR: Interquartile range

**Table 2:** Number of regular medications prescribed on admission and discharge

Medication category	Admission N [%]	Discharge N [%]
Mean (SD)	7.2 [3.81]	8.1 [3.95]
0 - 4 medications (non-polypharmacy)	47 [22.8]	35 [17.0]
5-9 medications (polpharmacy)	106 [51.5]	102 [49.5]
≥10 medications (excessive polypharmacy)	50 [24.3]	67 [32.5]
Missing	3 [1.5]	2 [1.0]
<b>Total</b>	<b>1460</b>	<b>1652</b>

**Table 3:** Potentially inappropriate medications (PIMs) on admission and discharge as determined by Beers criteria

PIMs independent of medical condition					PIMs in the presence of certain pathologies					PIMs to be used with caution				
	Admission		Discharge			Admission		Discharge			Admission		Discharge	
System/therapeutic category/drugs	N	%	N	%	System/therapeutic category/drugs	N	%	N	%	System/therapeutic category/drugs	N	%	N	%
Central Nervous System	106	71.1	102	79	Central Nervous System	11	29.9	10	25.6	Antipsychotics	14	25.5	15	24.6
Antidepressants	9	6	8	6.2	Antidepressants	2	5.3	2	5.1	SNRIs	3	5.5	4	6.5
Antipsychotics	50	33.6	40	31	Antipsychotics	9	23.7	8	20.5	SSRIs	31	56.3	35	57.4
Cardiovascular	47	31.5	54	41.8	Cardiovascular	12	31.5	9	23	TCAs	7	12.7	7	11.5
Alpha blockers	4	2.7	4	3.1	Gastrointestinal	8	21	10	25.6					
Antiarrhythmic	14	9.4	7	5.4	Respiratory	5	13.1	8	20.5					
Gastrointestinal	23	15.5	12	9.3	Antimuscarinics	2	5.2	2	5.1					
Analgesics	2	1.4	4	3.1										
Total	149	100	129	100		38	100	39	100		55	100	61	100

TCAs (Tricyclic antidepressants); SSRIs (Selective Serotonin Reuptake Inhibitors); SNRIs (Selective Norepinephrine Reuptake Inhibitors)

**Table 4:** Potentially inappropriate medication distribution at admission and discharge

Number of PIMs	Number of patients (%) n= 206	
	Admission	Discharge
No PIMs	94 (45.6)	104 (50.5)
One PIM	60 (29.1)	59 (28.6)
Two PIMs	34 (16.5)	29 (14.1)
Three PIMs	13 (6.3)	8 (3.9)
Four or more PIMs	5 (2.4)	6 (2.9)
<b>Total number of patients with at least one PIM</b>	<b>112 (54.4)</b>	<b>102 (49.5)</b>

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